

# TRANSMITTAL FORM

Application Serial Number 08/922,263

Filing Date September 2, 1997

First Named Inventor Crowley

Group Art Unit 3311

Examiner Name Unknown

Attorney Docket No. BSC-011

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GROUP 3300

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form  
☐ Check Attached  
☐ Copy of Fee Transmittal Form

- ☐ Amendment/Response  
☐ Preliminary  
☐ After Final  
☐ Affidavits/declaration(s)  
☐ Letter to Official

Draftsperson  
including Drawings  
[Total Sheets \_\_\_\_]

- ☐ Extension of Time Request

- ☒ Information Disclosure Statement

- ☒ PTO-1449 Form  
☒ Copies of IDS Citations

- ☐ Certified Copy of Priority Document(s)

- ☐ Response to Missing Parts/  
Incomplete Application

- ☐ Copy of Notice to File Missing Parts  
of Application (PTO-1553)

- ☐ Formal Drawing(s)

- ☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition

- ☐ To Convert to a  
Provisional Application

- ☐ Power of Attorney  
(Revocation of Prior Powers)

- ☐ Terminal Disclaimer

- ☐ Executed Declaration and Power of  
Attorney for Utility or Design Patent  
Application

- ☐ Small Entity Statement

- ☐ Request for Refund

- ☐ After Allowance Communication  
to Group

- ☐ Appeal Communication to Board  
of Appeals and Interferences

- ☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

- ☐ Status Letter

- ☒ Return Receipt Postcard

- ☐ Additional Enclosure(s)  
(please identify below)

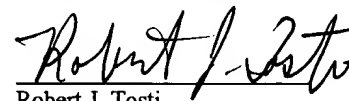
## CORRESPONDENCE ADDRESS

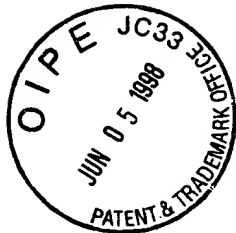
Direct all correspondence to: Patent Administrator  
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Boston, MA 02110  
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## SIGNATURE BLOCK

Date: June 3, 1998  
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Tel. No.: (617) 248-7374  
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Respectfully submitted,

  
Robert J. Tosti  
Attorney for the Applicant  
Testa, Hurwitz & Thibeault, LLP  
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125 High Street  
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PATENT #25  
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Attorney Docket No. BSC-011

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JUN 9 1998

APPLICANT: Crowley  
SERIAL NO.: 08/922,263 GROUP NO.: 3311  
FILED: September 2, 1997 EXAMINER: Unknown  
TITLE: INTERVENTIONAL PHOTONIC ENERGY EMITTER  
SYSTEM

GROUP 3300

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

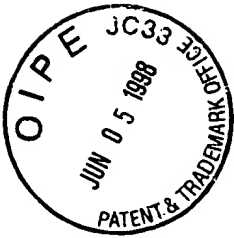
I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231 on this 3rd day of June 1998.

*Olivia J. Mannion*  
Olivia J. Mannion

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith are the following: Transmittal Form (1 pg.); Second Supplemental Information Disclosure Statement (2 pages); Form PTO - 1449 (2 pages); References Labeled AAQ to AAZ, BK to BT, and CC to CM; Certificate of First Class Mailing Dated June 3, 1998; postcard.



**PATENT**  
Atty. Docket No. BSC-011

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Crowley  
SERIAL NUMBER: 08/922,263 GROUP NUMBER: 3311  
FILING DATE: September 2, 1997 EXAMINER: Unknown  
TITLE: INTERVENTIONAL PHOTONIC ENERGY EMITTER SYSTEM

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with the provisions of 37 C.F.R. § 1.97, Applicant hereby makes of record the references listed on the accompanying PTO Form 1449 for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the references are enclosed.

**REMARKS**

In accordance with the provisions of 37 C.F.R. § 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **Filing Date** or before the mailing date of the **First Office Action** on the merits; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **Final Rejection** or **Notice of Allowance**, and
- ☐ the requisite Certification is attached hereto, **OR**
- ☐ the requisite fee under Rule 1.17(p), namely **\$220.00**, is included herein, or
- ☐ (3) after the mailing date of a **Final Rejection** or **Notice of Allowance** but before the payment of the **Issue Fee**, **AND**
- ☐ Applicant hereby Petitions the Commissioner to accept and consider the attached Information Disclosure Statement, **AND**
- ☐ the requisite Certification, is attached hereto, **AND**

☐ the requisite petition fee due under Rule 1.17(i)(I), namely \$130.00 is included herein.

It is respectfully requested that each of the documents shown on the attached form PTO-1449 be made of record in this application.

#### CERTIFICATION

As required under §1.97(e), Applicants, through the undersigned, hereby certify either that [check the appropriate space]:


- ☐ 1. [E]ach item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application **not more than** three months prior to the filing date of the Statement; or
- ☐ 2. [N]o item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this Certification after making reasonable inquiry, was known to any individual designated in §1.56(c) **more than** three months prior to the filing of the Statement.

#### FEE AUTHORIZATION

Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 20-0531. Any overpayments should be credited to said Deposit Account.

Date: June 3, 1998  
Reg. No.: 35,393

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